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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/785,548
	<b>Filing Date</b>	Feb. 20, 2001
	<b>First Named Inventor</b>	Koutnikova
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	
<b>Total Number of Pages in This Submission</b>	<b>Attorney Docket Number</b>	ST00005

**ENCLOSURES** (check all that apply)

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input checked="" type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input checked="" type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>See remarks |
| <b>Remarks</b><br><br>Certified English translation; Executed Declaration; Clean Copy of Application; Sequence Listing and Disc; Drawings and Petition for Color Drawings; Preliminary Amendment   |   |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	David J. Kulik, Wiley Rein & Fielding, LLP
Signature	
Date	October 31, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>	
Typed or printed name	<input type="text"/>
Signature	<input type="text"/>
Date	<input type="text"/>

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

2768.00

**Complete if Known**

Application Number 09/785,548

Filing Date 02/20/2001

First Named Inventor Koutnikova

Examiner Name

Group Art Unit

Attorney Docket No. ST00005

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1129

Deposit Account Name David J. Kulik

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)

Fee Paid

101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

740.00

**2. EXTRA CLAIM FEES**

Total Claims	31	-20** =	11	X	18.00	=	198.00
Independent Claims	3	-3** =	0	X		=	
Multiple Dependent				X		=	

Large Entity Small Entity

Fee Code (\$)

Fee Description

103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$)

198.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	130.00
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	1440.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130.00
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

1830.00

**SUBMITTED BY**

Name (Print/Type) David J. Kulik

Registration No. (Attorney/Agent)

36,576

**Complete (if applicable)**

Telephone 202.719.7000

Signature

Date 10/31/2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



### CERTIFICATION OF TRANSLATION

The undersigned, Jessica T. Abreu, whose address is 2334 N. Van Buren Court, Arlington, VA 22205-1939, USA, declares and states as follows:

I am well acquainted with the English and French languages; I have in the past translated numerous French documents of legal and/or technical content into English; and I am certified by Georgetown University and accredited by the American Translators Association in French into English translation;


I have been asked to translate and/or review Figures 1 to 10 of a document entitled: Compositions which can be Used for Regulating the Activity of Parkin.

I hereby declare that the attached translation of the document referenced above is, to the best of my knowledge and ability, a true and accurate translation of the original French document.

And I declare further that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, and that falsification of these statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

I therefore attach my Certification of Translation to the English translation of this document.

October 29, 2001  
Date

  
\_\_\_\_\_  
Jessica T. Abreu

City/County of Arlington  
Commonwealth of Virginia

The foregoing instrument was subscribed and sworn to before me on this  
29th day of October 2001 by

  
\_\_\_\_\_  
Notary Public

My commission expires on: September 30, 2002 ~~My commission expires~~



PATENT  
Atty Docket ST00005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Hana KOUTNIKOVA *et al.*,

Appl. No.: 09/785,548

Filed: February 20, 2001

For: COMPOSITIONS WHICH CAN BE USED  
FOR REGULATING THE ACTIVITY OF PARKIN

Group Art Unit: To be assigned

Examiner: To be assigned

Commissioner for Patents  
Washington, D.C. 200231

Sir:

**SUBMISSION OF FORMAL DRAWING  
AND PETITION TO ENTER COLOR DRAWINGS**

In response to the Notice to File Missing Parts dated May 2, 2001, applicants submit herewith corrected, substitute drawings complying with 37 C.F.R §§ 1.84 and 1.85 (Figs. 1-7, 8A-1, 8A-2, 8A-3, 8B-1, 8B-2, 8B-3, 9, and 10). Applicants also petition for entry of color Figures 8A-1, 8A-2, 8A-3, 8B-1, 8B-2, 8B-3.

The original drawings have been translated into English and a certified translation of them is filed on even date in this application. The Formal Drawings incorporate the English text. The Formal Drawings also correct the marked-up text noted in the Notice to File Missing Parts. Applicants respectfully request that these Formal Drawings be entered into the application. No new matter enters with these corrected Drawings.

The color Figures are submitted in compliance with 37 C.F.R. § 1.83 and are photographs, where color images show the detail of subject best. Three copies of each color figure are submitted. The petition fee of \$ 130.00 is submitted in the attached Fee Transmittal and check.

11/02/2001 AMNDPFI 0000100 07785548

05 PM:12E

130.00 DP

If there are any fees or petitions required with this paper or to enter the Drawings, applicants respectfully petition the Commissioner and the Commissioner is hereby authorized to take any required fess from Deposit Account no. 50-1129.

Respectfully submitted,  
WILEY REIN & FIELDING LLP

Date: October 31, 2001

By:

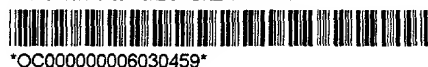
  
David J. Kulik Reg. No. 36,576

**WILEY REIN & FIELDING LLP**  
Intellectual Property Department  
1776 K Street, NW  
Washington, D.C. 20006  
Tel: (202) 719.7000  
Fax: (202) 719.7049

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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26118  
BROBECK, PHLEGER & HARRISON, LLP  
ATTN: INTELLECTUAL PROPERTY DEPARTMENT  
1333 H STREET, N.W. SUITE 800  
WASHINGTON, DC 20005

## FORMALITIES LETTER



Date Mailed: 05/02/2001

11/02/2001 AMDNORF1 4069003 9732540

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- **Substitute drawings in compliance with 37 CFR 1.84 because:**
  - **drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);**
- **This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence**

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825 (b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

***A copy of this notice MUST be returned with the reply.***

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**



PATENT  
Atty Docket ST00005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Hana KOUTNIKOVA *et al.*,

Appl. No.: 09/785,548

Filed: February 20, 2001

For: COMPOSITIONS WHICH CAN BE USED  
FOR REGULATING THE ACTIVITY OF PARKIN

Group Art Unit: To be assigned

Examiner: To be assigned

Commissioner for Patents  
Washington, D.C. 200231

Sir:

**SUBMISSION OF SEQUENCE LISTING AND STATEMENT TO SUPPORT FILING  
AND SUBMISSION IN ACCORDANCE WITH 37 CFR §§ 1.821-1.825**

In connection with the Sequence Listing submitted herewith, the undersigned hereby states that:

the submission, filed herewith in accordance with 37 C.F.R. § 1.821(g), does not include new matter; and


the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same.

If there are any fees or petitions required with this paper or to enter the Sequence Listing, applicants respectfully petition the Commissioner and the Commissioner is hereby authorized to take any required fess from Deposit Account no. 50-1129.

Respectfully submitted,  
WILEY REIN & FIELDING LLP

Date: October 31, 2001

By:

  
David J. Kulik Reg. No. 36,576

**WILEY REIN & FIELDING LLP**  
Intellectual Property Department  
1776 K Street, NW  
Washington, D.C. 20006  
Tel: (202) 719.7000  
Fax: (202) 719.7049